

No.16(12)/1/2003-WR  
Planning Commission  
(WR Division)

1513  
20-7-2012

Yojana Bhavan , Sansad Marg,  
New Delhi, Dated 29<sup>th</sup> June, 2012

To

The Chief Secretary,  
Government of  
(As per list)

Subject: Enhancement of powers of the State Governments for the sanction of flood control, drainage and anti-water logging scheme.

Sir,

As the State Governments are aware, the investment approval of the Planning Commission to new flood control and drainage schemes having inter state/international ramifications has been a pre-requisite for their inclusion and funding in the States' Plan. The Planning Commission's letter nos.16(12)/1/99-WR dated 09.09.2003 and 16(12)/1/2005-WR dated 8.11.2005 lays down the procedure for submission and examination of such flood control, drainage and anti-water logging projects.

2.0 Vide Planning Commission letter no.16(12)/1/99-WR dated 09.09.2003 the powers of the State Government to sanction flood control schemes on inter state Rivers were enhanced to Rs.7.5 crore and the schemes with estimated cost more than Rs.7.5 crore were required to be accorded investment clearance by the Planning Commission for inclusion in the state plan on the recommendations of the CWC/GFCC/ Advisory Committee of MoWR as the case may be. Further, vide Planning Commission letter no.16(12)/1/2005-WR dated 08.11.2005 it was decided that the approval of the State Flood Control Board will be required only for flood management (including drainage and anti water logging) schemes costing above Rs.30 crore. With a view to delegate more powers to the State Governments, the issue was reviewed in the Planning Commission and it has been decided by the Deputy Chairman, Planning Commission that the flood control, drainage, anti-water logging project which are on inter-state rivers and tributaries will be sanctioned and included in the plan as per the procedure detailed below:-

(a) Schemes costing upto Rs.12.50 crore

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- (b) Schemes costing more than Rs.12.50 crore and not exceeding Rs.25.00 crore and
- (c) Schemes costing more than Rs.25.00 crore.

Accordingly and in supersession of the relevant instructions contained in the earlier letter dated 09.09.2003 and 08.11.2005 cited above, the procedure for submission of such schemes by the States and their examination at the Centre and consideration by the Advisory committee for consideration of techno-economic viability of major, medium irrigation, flood control and multipurpose project proposals under Ministry of Water Resources (called hereafter as Advisory Committee) will be, with immediate effect, as follows:

### **2.1 Schemes costing upto Rs.12.50 crore**

2.1.1 The Schemes proposed by the Flood Control Department will be sanctioned by the State Government concerned on the recommendation of the State Technical Advisory Committee, for their inclusion in the Annual Plan & Five year plan of the State Government.

2.1.2 Schemes other than those in the Ganga Basin which, in the opinion of any member of the State Technical Advisory Committee have inter-State implications, should be got examined and cleared by the Central Water Commission (CWC) before they are sanctioned by the State Government. Schemes in the Ganga Basin which, in the opinion of any member of the State Technical Advisory Committee, have inter-State implications should be got examined and got cleared by the Ganga Flood Control Commission (GFCC). Schemes which, in the opinion of any member of the State Technical Advisory Committee have international implications should be got cleared by the Ministry of Water Resources before they are sanctioned by the State Government concerned.

2.1.3 The Schemes under the following categories may be sanctioned by the State Governments.

- (a) Raising and strengthening of existing embankments;
- (b) Retired lines for existing embankments;
- (c) Investigations of flood control, drainage, anti-water logging and anti-sea erosion works;
- (d) Raising of villages.

Reference of the schemes under the above categories to the State Technical Advisory Committee will not be necessary. A schematic report of the schemes of category(b) above should be sent by the State Government to the Ministry of Railways and to the Ministry of Surface Transport (Roads Wing) for information.

2.1.4 The sanction of schemes by the State Governments would be subject to the financial provisions that may be made available from year to year. The schemes need not be referred to the Planning Commission for approval. A list of schemes sanctioned by the State Govt. together with the information in the proforma in Statement "A" in respect of each scheme should be supplied by the State Government, soon after sanctioning the scheme, to the CWC and the Planning Commission. Where the schemes relate to the Ganga basin, the above information will be furnished to the GFCC and the Ministry of Water Resources also. In case of schemes relating to the Indus-Basin, the above information should be supplied to the Ministry of Water Resources also.

2.1.5 All emergent schemes costing upto Rs.35 lakhs each to be carried out during the flood season which do not have inter-departmental/inter-state/international aspects and which do not affect the highways and the railways may be sanctioned by the State Governments on the recommendation of the State Chief Engineer.

## **2.2 Schemes costing more than Rs.12.50 crore and not exceeding Rs.25.00 crore**

2.2.1 The scheme will be processed as follows:

- (i) The schemes prepared by the Flood Control Departments which are on inter State rivers and tributaries will be processed through the State Technical Advisory Committee and will be submitted to the CWC (for schemes in basins other than Ganga basin) and to the GFCC (for schemes in Ganga basin) in enclosed Statement "B".
- (ii) All these schemes will be examined by the CWC and the GFCC as the case may be.
- (iii) On the recommendation of the CWC/GFCC as the case may be, the approval of these schemes for inclusion in Plan will be processed by the Planning Commission.

2.2.2 Schemes with international implications will follow similar procedure as in para 2.2.1 except that State Government will obtain specific clearance of the MOWR before they are recommended by the CWC/GFCC for approval of the Planning Commission in the manner indicated in para 2.2.1(iii).

2.2.3 The following categories of embankment schemes may be considered as having inter-state implications:

- (a) Such of the schemes which lie in or extend to a limit of 8 km from the border, on an inter-State river which does not flow down to any other State, but whose effect may extend in the upper State.
- (b) Embankment schemes which are on rivers or tributaries which flow down to another State.

2.2.4 The following categories of embankment schemes may be considered as having international implications:

- (a) Embankment schemes on the Indus river system.
- (b) Embankment schemes in certain estuaries creeks affecting the adjoining estuaries in another country.
- (c) Embankment schemes on rivers or tributaries which fall into parent rivers such as Ganga or Brahmaputra flowing ultimately into another country.

2.2.5 The following categories of schemes in the Ganga and Brahmaputra river basins which are prima-facie free from possible international repercussions need not be referred to the Ministry of Water Resources for clearance.

- (a) Raising and strengthening of existing embankments.
- (b) Drainage schemes comprising excavation of new drains and or provision of sluices in the various existing embankments.
- (c) Schemes for channel improvement and closing of spill, well away from the Border.
- (d) River training schemes sufficiently away from the border.
- (e) Anti-erosion schemes sufficiently away from the border.

### **2.3 Schemes costing more than Rs.25.00 crore**

In case of schemes, each costing more than Rs.25.00 crore, detailed project reports have to be prepared by the Flood Control Departments and processed in the same manner as indicated under Section 2.2 above and, thereafter, the project reports will be sent by the State Governments to the CWC (to the GFCC in the case of schemes in the Ganga basin) for detailed examination with a copy to the Planning Commission and, where required, to the Ministry of Water Resources. The CWC/GFCC will process the schemes for consideration of the Advisory Committee. The schemes after the acceptance of the Advisory Committee will be considered for investment approval of the Planning Commission. However, for schemes costing Rs.30.00 crore or more, the approval of the State Flood Control Board would be necessary.

### **3.0 Modification and Revision of Schemes**

3.1 The procedure mentioned hereunder will be followed in respect of flood control, drainage, anti-water logging schemes which undergo modification and /or revision subsequent to their approval on account of a change in their scope and / or a change in their estimated cost.

3.2 If the scope of the scheme involving inter-State /International aspects requires a modification/revision resulting in an increase in the cost of more than 10% but the revised cost of the scheme still continues to be Rs.25.00 crore or less, such modifications should be intimated by the State Government to the CWC(GFCC, in the case of schemes in Ganga basin) and the Ministry of Water Resources and the Planning Commission, for review and clearance/approval as required. Where, however, the revised cost is more than Rs.25.00 crore the revised estimate should be processed in the manner as indicated in Section 2.3 above for consideration of the Advisory committee of the Ministry of Water Resources and investment approval by the Planning Commission.

3.3 In the case of a scheme involving a change in its estimated cost only, if the revised cost of the scheme is Rs.25.00 crore or less, irrespective of the percentage increase in cost, only the increase in cost and the main reasons thereof need be intimated. Where, however, the revised cost is more than Rs.25.00 crore, if the revision results in an increase in the cost of more than 10% or Rs.2.50 crore, whichever is less, the revised estimate should be processed in the manner as indicated in Section 2.3 above for consideration of the Advisory Committee of the Ministry of Water Resources and investment approval by the Planning Commission. This procedure will also be followed in the case of schemes involving a change in scope.

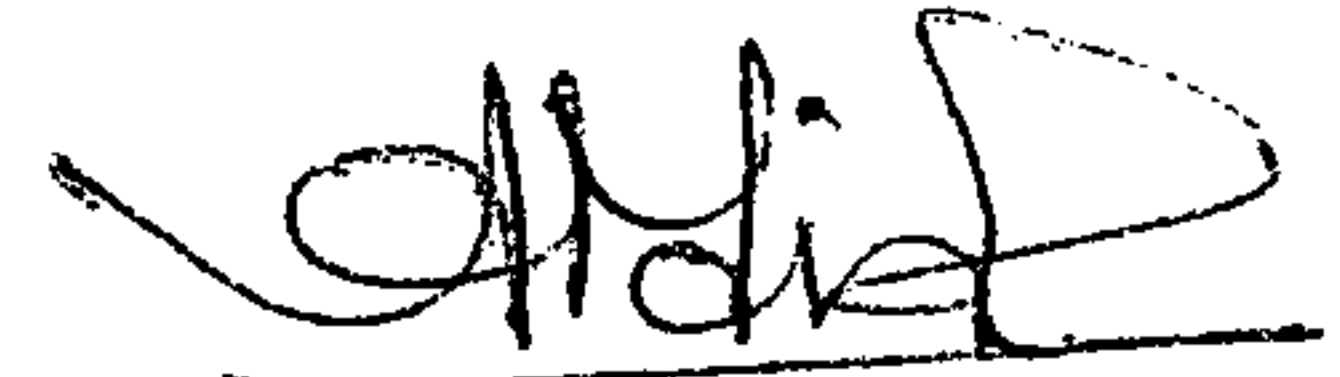
3.4 This is subject to obtaining the requisite administrative and statutory clearances by the competent authority as may be needed for processing a scheme under the procedure laid down hitherto.

3.5 In this connection, the Planning Commission wish to reiterate that no work or any flood control, drainage and anti-water logging scheme would be undertaken by the State Governments unless the schemes are approved in accordance with the procedure laid down in the paragraphs above. In the case of schemes which undergo modification and revision subsequent to their approval, the information required to be submitted to the CWC, the GFCC and the Planning Commission under para 3.0 above should be submitted well in advance so that the approval for the revised scheme is obtained from the

Planning Commission before any additional commitments are made in respect of them.

4.0 This has a concurrence of Deputy Chairman, Planning Commission.

Yours faithfully,



(Avinash Mishra)  
Jt. Adviser(WR)

Encl: Proforma "A" & "B"

STATEMENT "A"

PROFORMA IN WHICH INFORMATION IS REQUIRED TO BE FURNISHED BY THE STATE GOVERNMENT IN RESPECT OF FLOOD CONTROL, DRAINAGE, ANTI-WATER LOGGING AND ANTI-SEA EROSION SCHEMES COSTING LESS THAN Rs. 12.50 CRORE EACH SANCTIONED BY THE STATES

1. Name of the Scheme(attach index map)
2. Name of the river, river basin and district in which the scheme is situated.
3. Name of scheme-whether new embankments, raising and strengthening of existing embankment, drainage, anti-erosion, town protection etc. along with typical drawing of the components proposed.
4. Length of embankment of drainage channels.
5. Estimated cost with price level
6. Area benefited/population benefitted
7. Damage details of the area for last 3 years.
8. Date of sanction of the scheme and scheduled date of completion.
9. Whether inter-state/international aspect of the scheme, if any has been examined by the State Technical Advisory Committee and, where necessary, clearance for the CWC/GFCC and the Ministry of Water Resources has been obtained.
10. Status of requisite administrative/statutory clearance.

STATEMENT "B"

PROFORMA IN WHICH INFORMATION IS REQUIRED TO BE FURNISHED BY THE STATE GOVERNMENT IN RESPECT OF FLOOD CONTROL, DRAINAGE, ANTI-WATER LOGGING AND ANTI-SEA EROSION SCHEMES COSTING LESS THAN Rs.25.00 CRORE BUT MORE THAN RS.12.50 CRORE EACH.

1. Name of the Scheme (attach index map)
2. Abstract of cost, including foreign exchange components, if any.
3. Skeleton reports along with typical drawing of the components proposed.
4. Area and population which will get protected by the project
5. Damage details of the area for last 3 years.
6. (i) Betterment levy or flood cess, if any, proposed for the area to be protected from floods or water logging or sea erosion.  
(ii) Anticipated revenue therefrom
7. (a) Benefit cost ratio  
(b) Cost per ha. of area protected.
8. The extent to which people's participation is envisaged for the execution of the schemes and in what form.
9. Whether inter-State/international aspect of the scheme, if any, has been examined by the State Technical Advisory Committee and, where necessary, clearance of the CWC/GFCC and the Ministry of Water Resources has been obtained.
10. Status of requisite administrative/statutory clearance.
11. Target date of completion.



Copy forwarded for information and necessary action to:

1. Secretary (WR)
2. Secretary, Deptt. Of North East Region, Vigyan Bhawan
3. Commissioner (ER)/Indus/Projects/PP
4. Chairman, CWC
5. Member(RM)/Member (WP&P)
6. CE, PAO/CE, FM/CE, FCD
7. Chairman, GFCC 3 copies
8. Ministry of Finance, Deptt. Of Expenditure, Plan Finance Section, New  
Delhi. 5 copies
9. Ministry of Railways 10 copies
10. Ministry of Transport (Roads Wing) 10  
copies
11. Chairman, Brahmaputra Board, Guwahati 10  
copies

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12. All State Governments/UTs
  - (a) Secretary, Planning 5  
copies
  - (b) Secretary, Water Resources/Flood control Depot. 5  
copies
  - (c) Chief Engineer, Water Resources /Flood Control Deptt. 5  
copies

Copy also for kind information to

13. PS to DCH/PS to MoS (Plg.)/PS to all Members
14. PPS to Secretary (Planning Commission)
15. Adviser (PAMD)/Adviser (SP-NE)



(Avinash Mishra)

Joint Adviser (WR)